



A PK/PD Model for the Assessment and Optimization of PROTACs

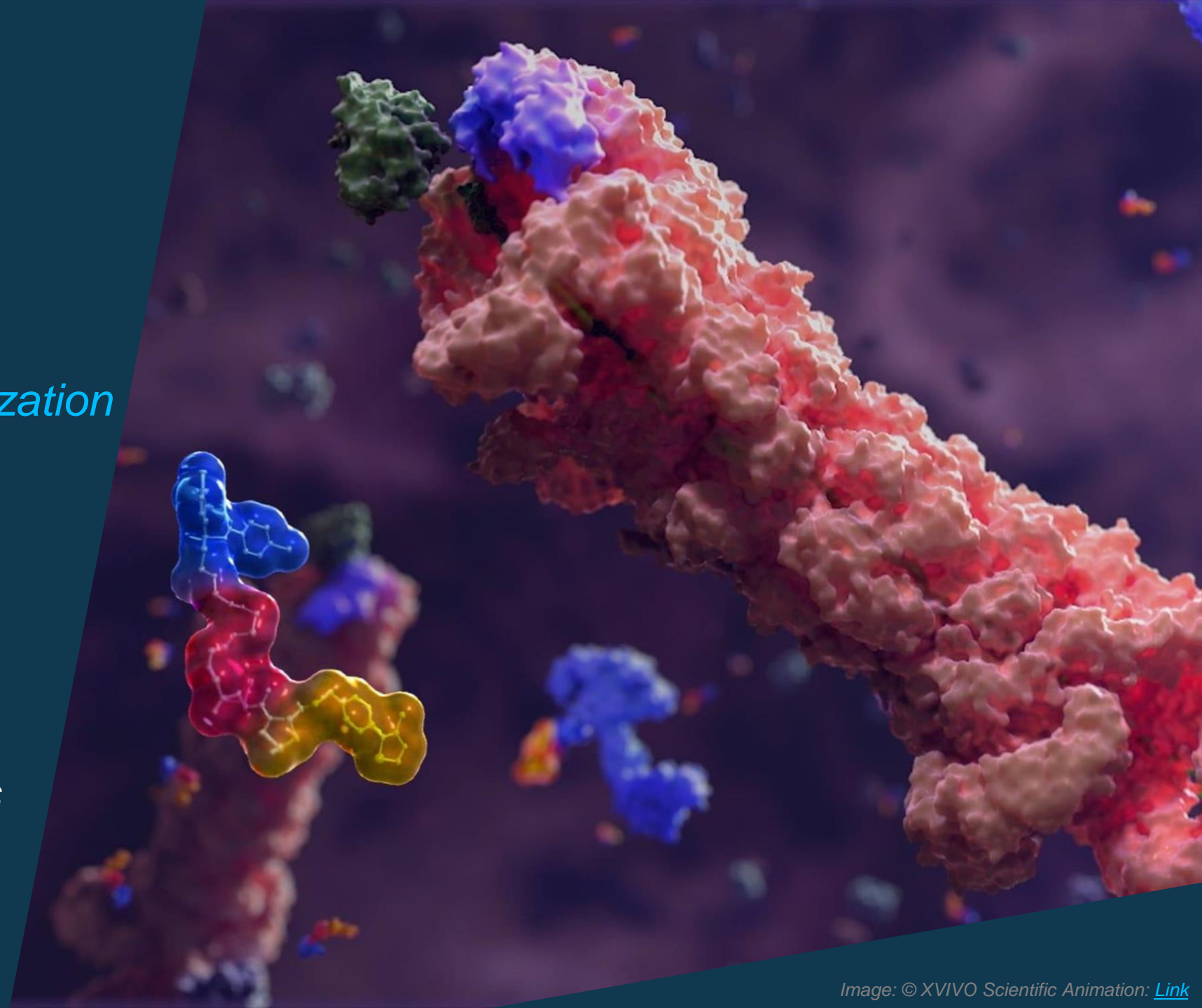


Robin Haid ^{1,2}
Andreas Reichel ¹

¹ *Bayer AG - Preclinical M&S*
² *ETH Zurich - Biopharmacy*

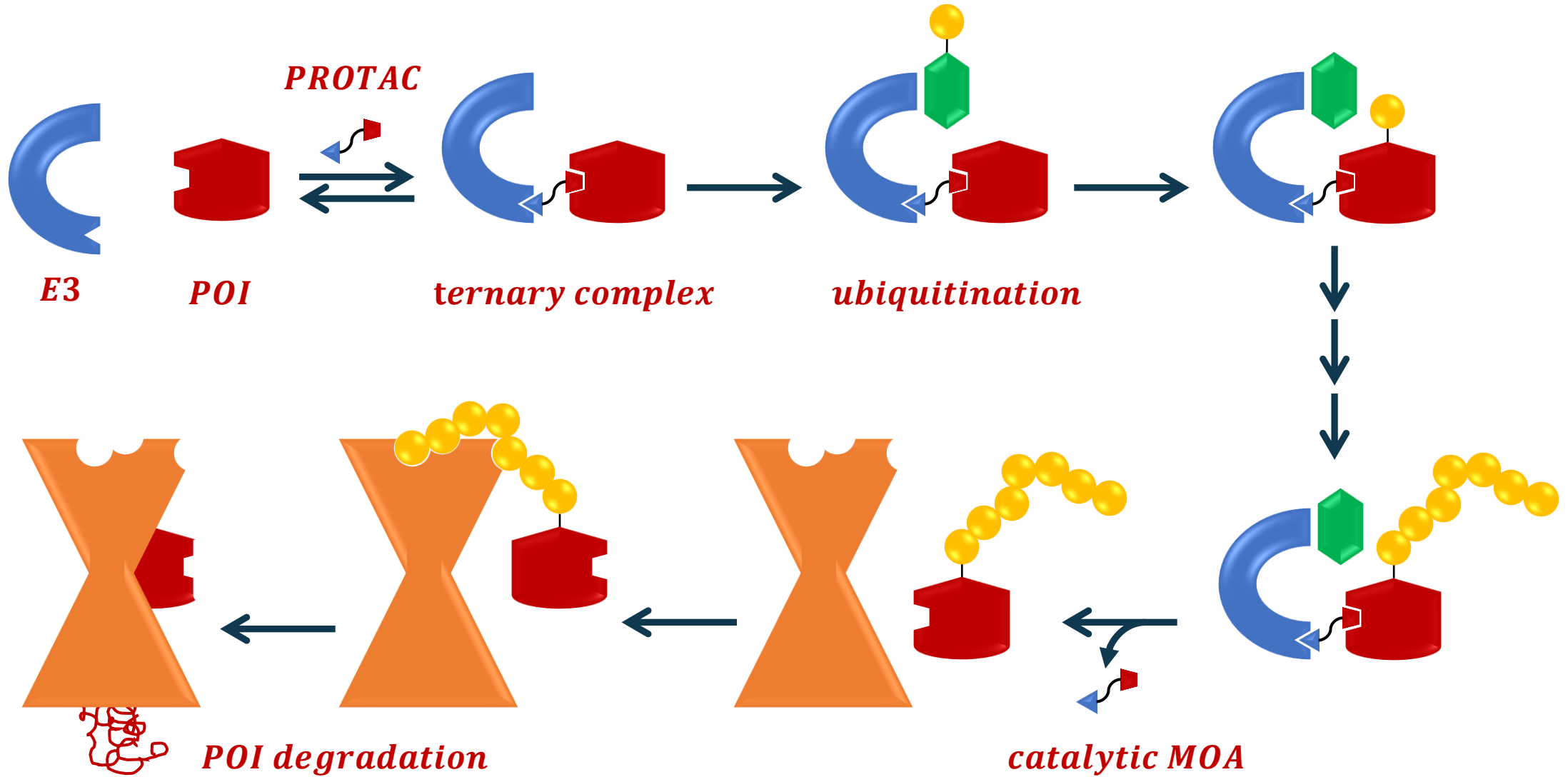
September 13th, 2023

Image: © XVIVO Scientific Animation: [Link](#)



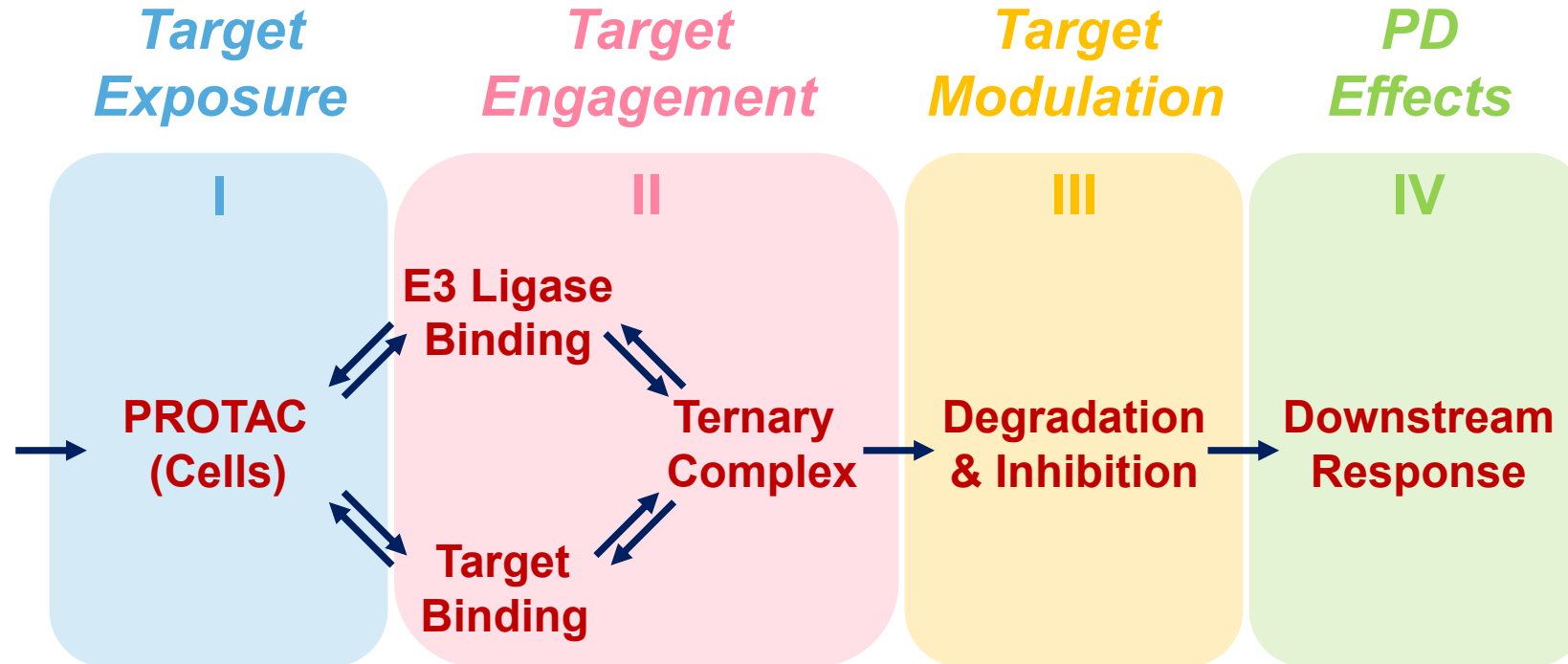
Introduction

PROTAC – Mechanism of Action



Approach

Applying the four pillars concept to Proteolysis Targeting Chimeras¹



- Basis for a **mechanistic modeling** framework that addresses three key questions

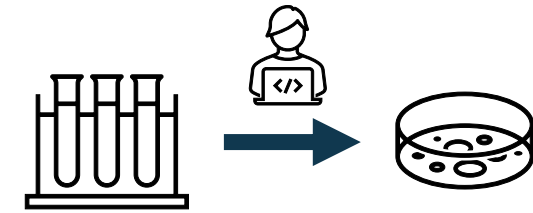
¹. Nowak & Jones (2021) *SLAS Discov.* DOI: [10.1177/2472555220979584](https://doi.org/10.1177/2472555220979584)

Overview

Preclinical PK/PD modeling plays a crucial role in three distinct translational steps

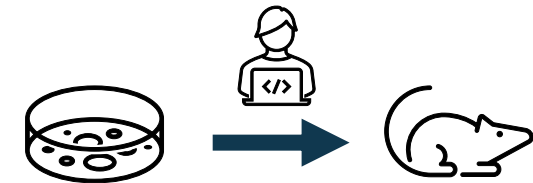
1) Translation from biochemical to cellular level

- How to increase degradation potency?



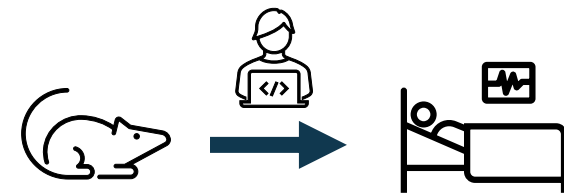
2) Translation from cellular level to animal model

- Which compounds to take *in vivo*?



3) Translation from animal model to human patients

- What is the relevant dose in humans?

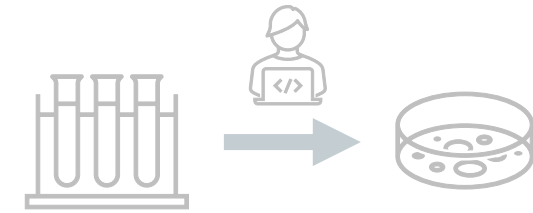


Overview

Preclinical PK/PD modeling plays a crucial role in three distinct translational steps²

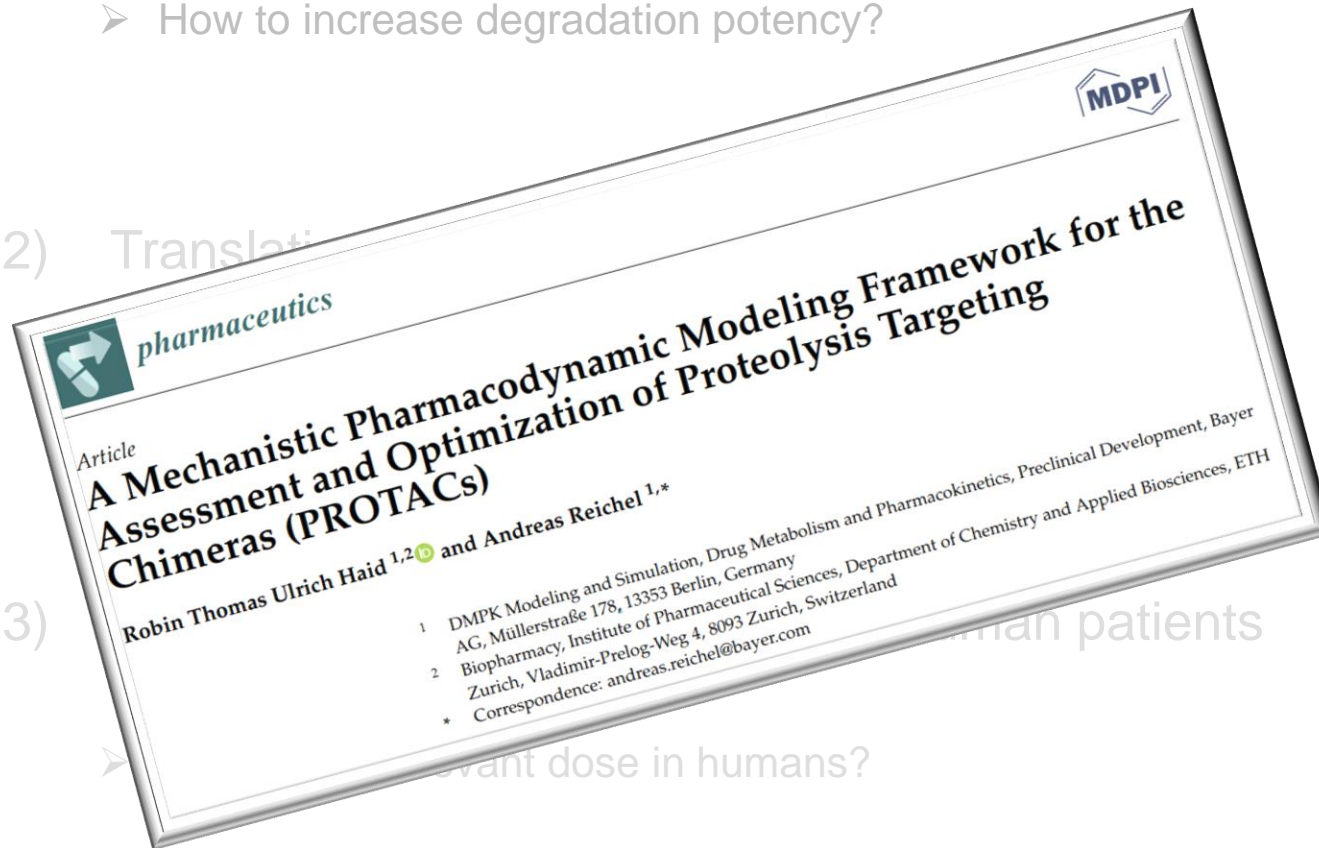
1) Translation from biochemical to cellular level

➤ How to increase degradation potency?



2) Translating to human patients

3) Determining relevant dose in humans?



² paper: Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

Overview

Already with in vitro data, different tasks require different models



I) Assessing PROTACs as Degraders

- How much degradation is there?

II) Model-Informed Optimization of PROTACs

- How to increase degradation?

III) Deriving a Target Value for Degradation

- How much degradation is necessary?

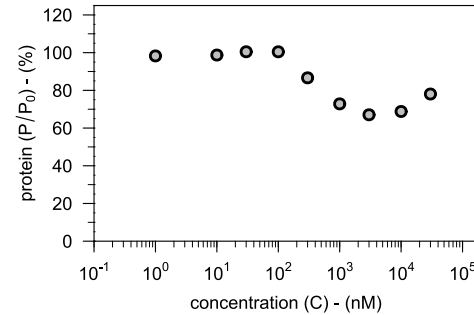
Overview

Already with in vitro data, different tasks require different models



I) Assessing PROTACs as Degraders

- How much degradation is there?



- D_{max}
- DC_{50}
- DC_{max}

II) Model-Informed Optimization of PROTACs

- How to increase degradation?

III) Deriving a Target Value for Degradation

- How much degradation is necessary?



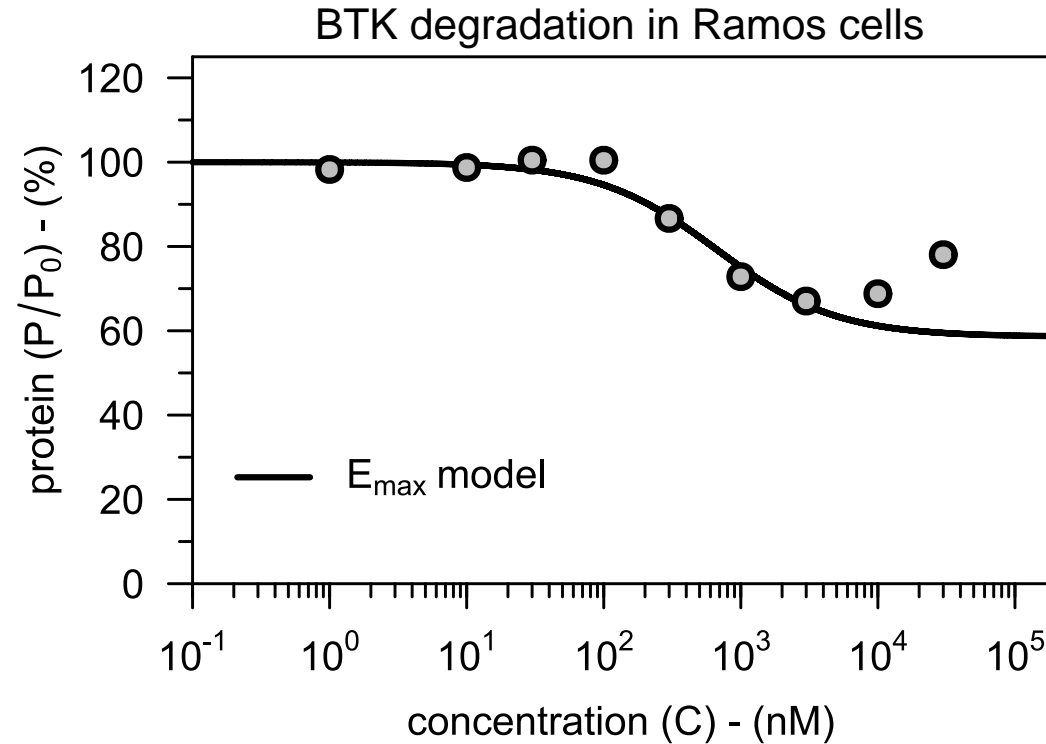
I) Assessing PROTACs as Degraders

Describe the concentration-response profile mathematically³

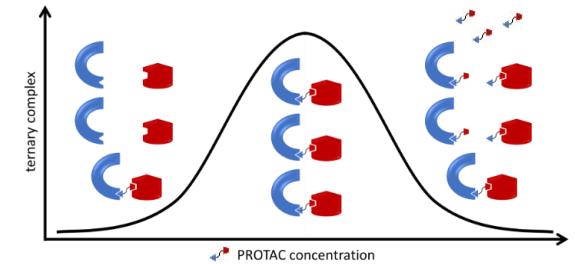
| | E_{\max} model |
|------------------|------------------|
| D_{\max} (%) | 41 |
| DC_{50} (nM) | 665 |
| DC_{\max} (nM) | NA |

D_{\max} ... max. extent of degradation
 DC_{50} ... conc. of half-max. deg.
 DC_{\max} ... conc. of max. deg

→ cf. E_{\max} , EC_{50} etc. with relevant effect being degradation



→ *hook effect*: @ high conc., the non-degrading binary complexes dominate



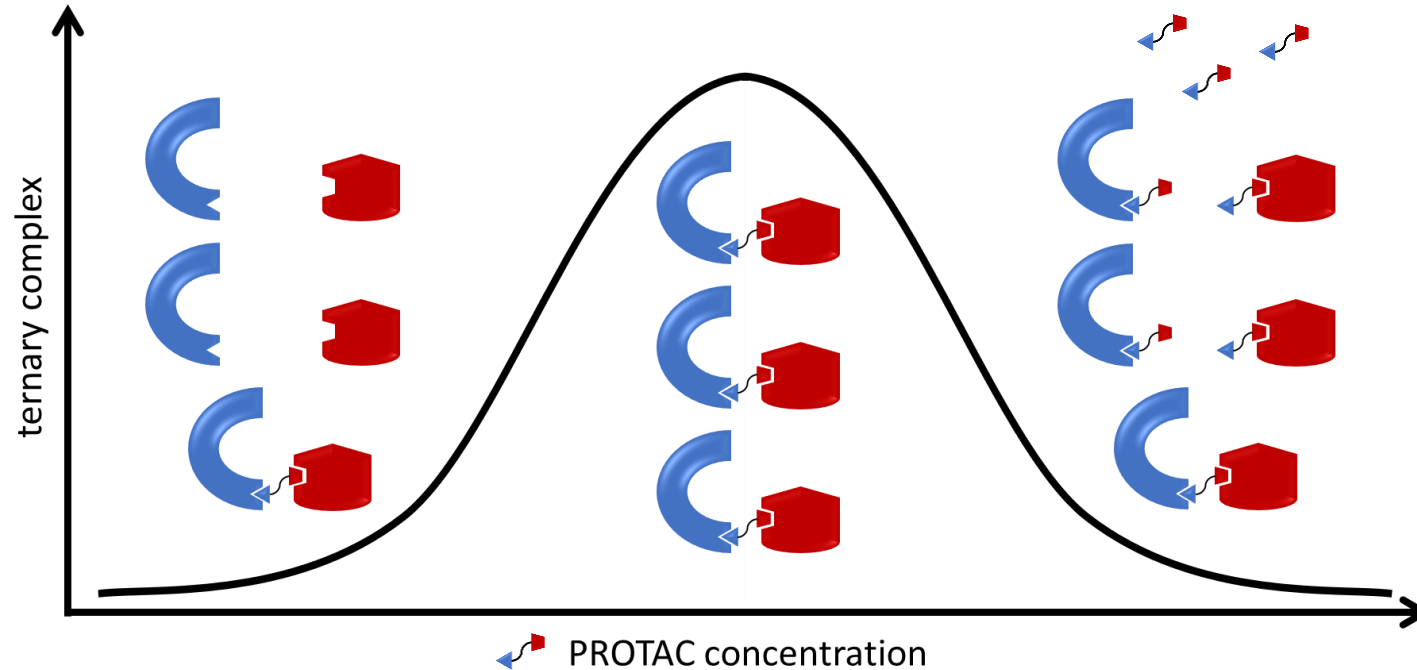
➤ The E_{\max} model cannot account for the **hook effect** at high drug concentrations

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)



I) Assessing PROTACs as Degraders

Describe the concentration-response profile mathematically³



- *Hook effect*: at **high concentrations** PROTACs mainly form **binary** instead of ternary complexes

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

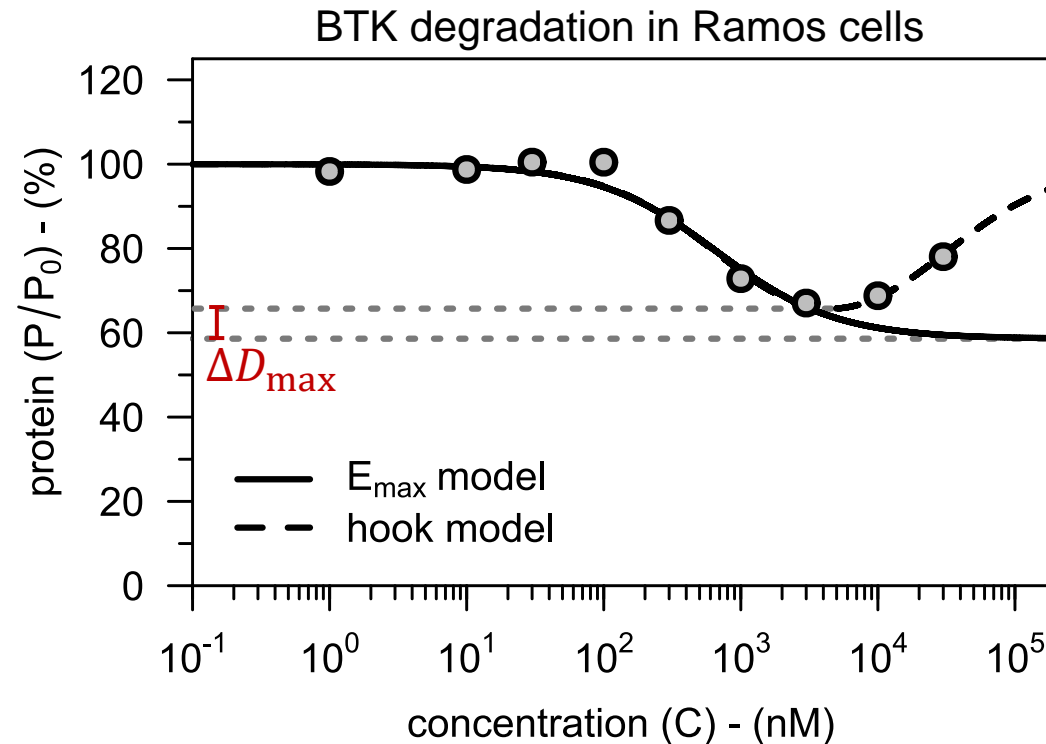


I) Assessing PROTACs as Degraders

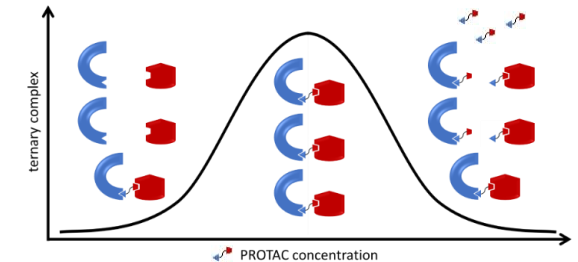
Describe the concentration-response profile mathematically³

| | E_{\max} model | hook model |
|------------------|------------------|------------|
| D_{\max} (%) | 41 | 34 |
| DC_{50} (nM) | 665 | 464 |
| DC_{\max} (nM) | NA | 4,550 |

→ same data were analyzed using different models



→ hook effect: @ high conc., the non-degrading binary complexes dominate



➤ The *hook model*² fits **all the data** and gives an **accurate** estimate of D_{\max}

² Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

³ data: Zorba et al. (2018) *Proc. Natl. Acad. Sci. USA* DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)



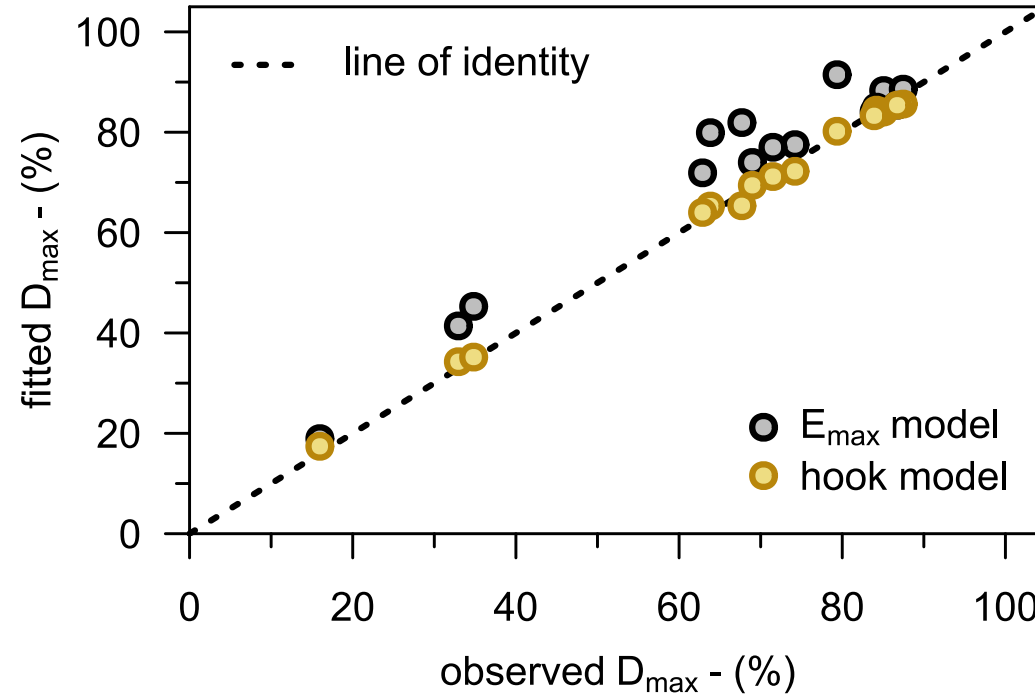
I) Assessing PROTACs as Degraders

Describe the concentration-response profile mathematically³

| E_{max} | obs. | hook |
|-----------|------|------|
| H2 | F1 | F1 |
| F1 | H1 | H1 |
| D1 | D1 | E1 |
| H1 | G1 | D1 |
| E1 | E1 | G1 |
| G1 | I1 | I1 |
| I1 | H2 | H2 |
| D2 | F2 | F2 |
| C1 | G2 | G2 |
| F2 | I2 | I2 |

→ the hook model is better at ranking PROTACs

➤ The E_{max} model tends to **overestimate** D_{max} and results in **flawed** compound rankings



→ a total of 16 conc.-deg. profiles were analyzed with both models each

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

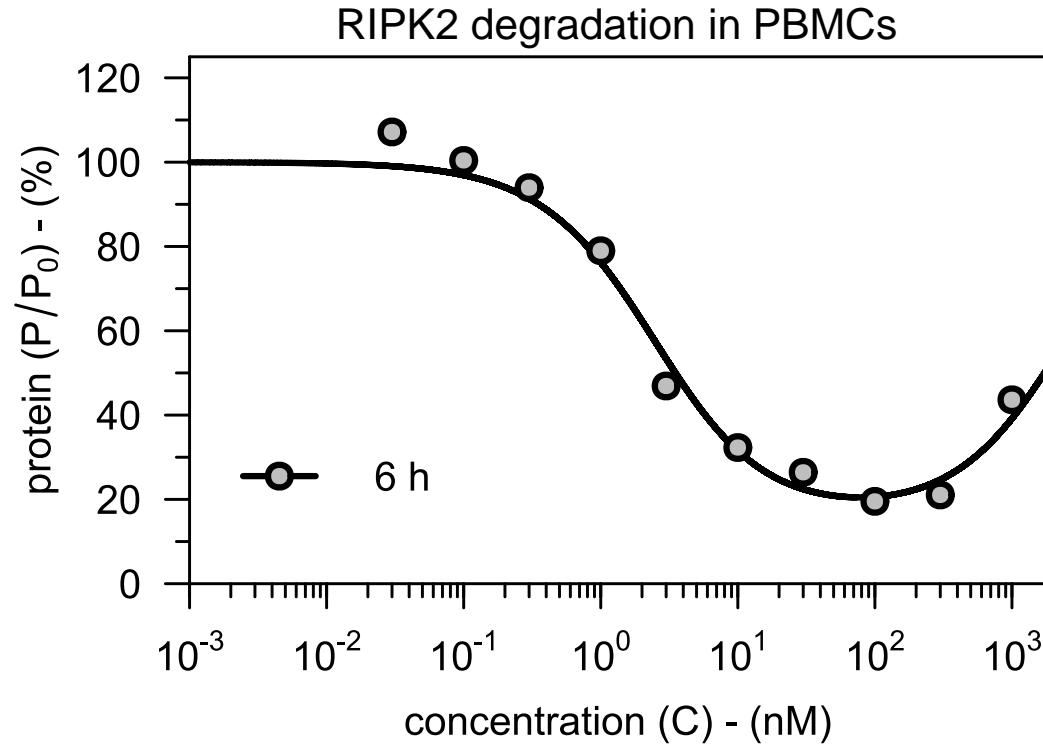


I) Assessing PROTACs as Degraders

Account for the impact of the experimental incubation time^{4,5}

| | 6 h |
|-----------------|------|
| D_{max} (%) | 79.8 |
| DC_{50} (nM) | 2.19 |
| DC_{max} (nM) | 79.8 |

→ apparent values
(uncertainty not shown)



1) the *extended hook model*² is fitted to degradation data observed after 6 h

➤ The decision, for **how long** cells are incubated *in vitro* is somewhat **arbitrary**

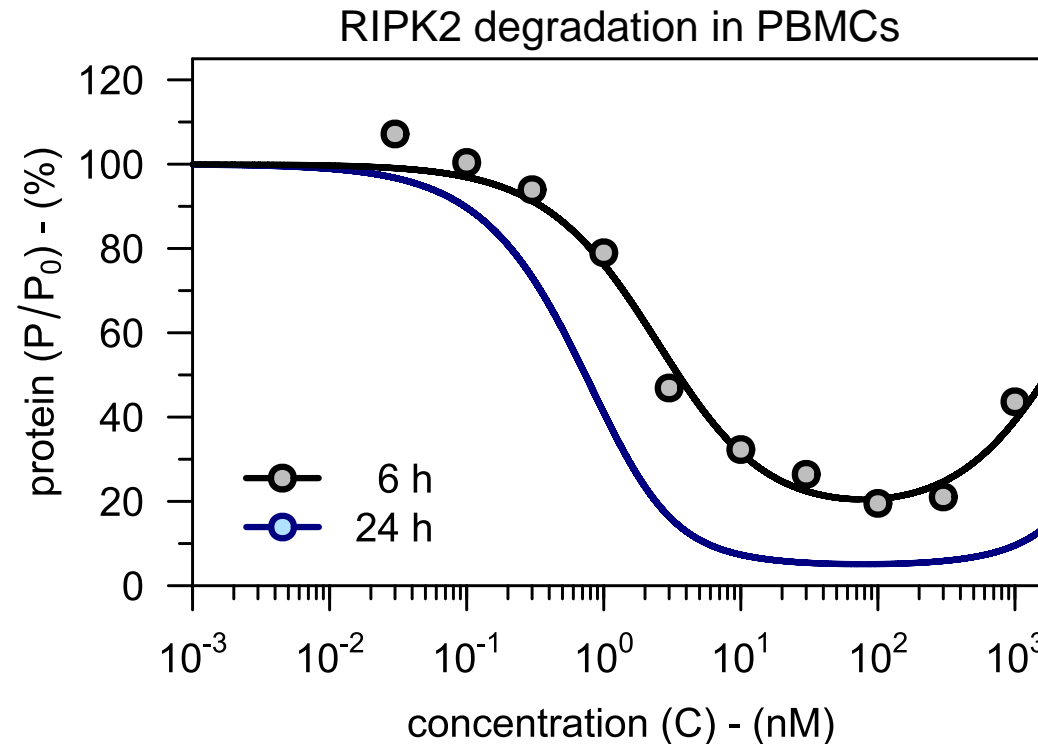


I) Assessing PROTACs as Degraders

Account for the impact of the experimental incubation time^{4,5}

| | 6 h | 24 h |
|-----------------|------|------|
| D_{max} (%) | 79.8 | 95.5 |
| DC_{50} (nM) | 2.19 | 0.65 |
| DC_{max} (nM) | 79.8 | 73.7 |

→ extent of degradation increases over time



- 1) the *extended hook model*² is fitted to degradation data observed after 6 h
- 2) using the protein's baseline half-life ($t_{1/2,P} = 45$ h), deg. after 24 h is predicted

➤ The choice of **incubation time** influences the extent of **degradation** that is **observed**

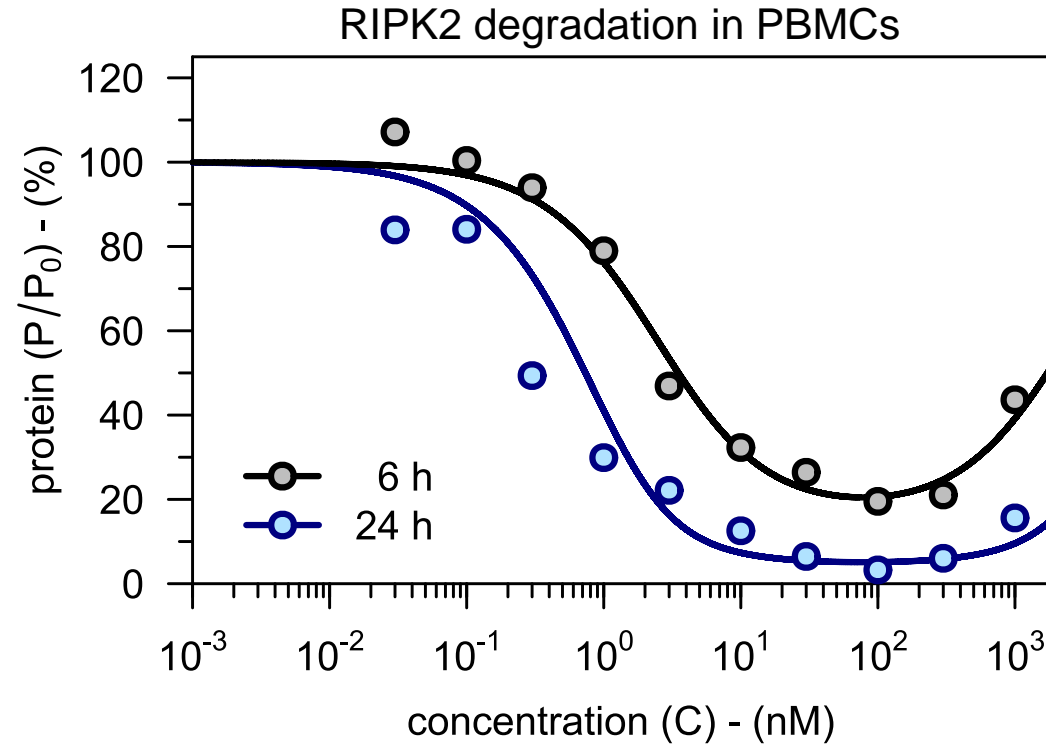


I) Assessing PROTACs as Degraders

Account for the impact of the experimental incubation time^{4,5}

| | 24 h | steady state |
|-----------------|------|--------------|
| D_{max} (%) | 95.5 | 94.9 |
| DC_{50} (nM) | 0.65 | 0.29 |
| DC_{max} (nM) | 73.7 | 68.9 |

→ incubation time is most critical for potency (DC_{50})



- 1) the *extended hook model*² is fitted to degradation data observed after 6 h
- 2) using the protein's baseline half-life ($t_{1/2,P} = 45$ h), deg. after 24 h is predicted
- 3) the predicted profile (24 h) is confirmed experimentally to validate the approach

➤ The *extended hook model*² estimates the true (i.e. **steady-state**) degradation parameters



I) Assessing PROTACs as Degraders

Account for the impact of the experimental incubation time

- the necessary incubation time depends on:
 - i) protein half-life ($t_{1/2,P}$)
 - ii) drug effectiveness (D_{max})
- as a rule of thumb, choose incubation times to roughly match POI half-life
- too short an incubation makes the cpd. seem worse than it is

| $t_{1/2,P}$ (h) | D_{max} (%) | | | | |
|-----------------|---------------|----|----|----|----|
| | 70 | 80 | 90 | 95 | 99 |
| 4 | 5 | 4 | 4 | 3 | 3 |
| 12 | 13 | 12 | 10 | 9 | 9 |
| 24 | 26 | 23 | 20 | 18 | 17 |
| 48 | 52 | 45 | 39 | 36 | 33 |
| 96 | 103 | 90 | 77 | 71 | 66 |

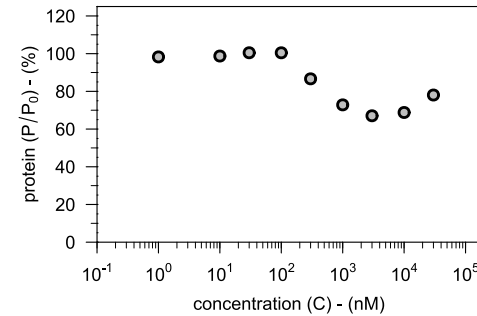
→ incubation for 24 h is long enough for the green cells, but NOT for the yellow ones

➤ Incubation for **24 h** might **NOT** be **sufficient** to observe the steady-state parameters



I) Assessing PROTACs as Degraders

- How much degradation is there?

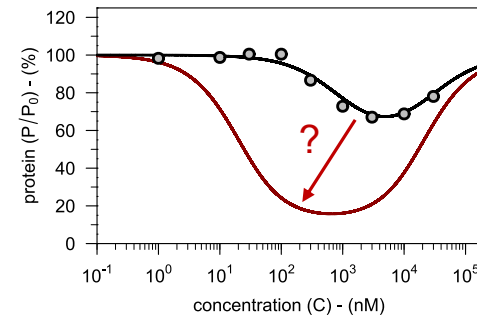


hook model

- D_{max}
- DC_{50}
- DC_{max}

II) Model-Informed Optimization of PROTACs

- How to increase degradation?



k_{cat} model

- $K_{D,P} \downarrow$
- $K_{D,E} \downarrow$
- $\alpha \uparrow$

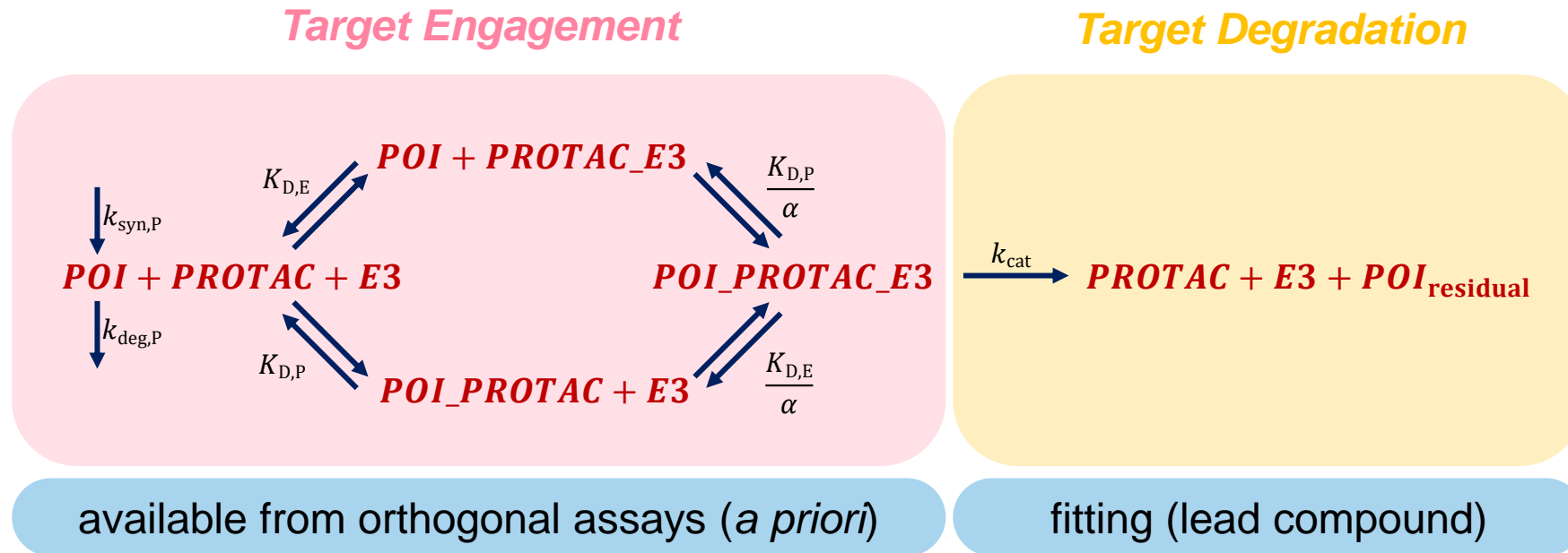
III) Deriving a Target Value for Degradation

- How much degradation is necessary?



II) Model-Informed Optimization of PROTACs

Determine the biochemical parameters governing target degradation



- The k_{cat} model² integrates **compound-specific** parameters with **physiological** parameters

² Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

II) Model-Informed Optimization of PROTACs

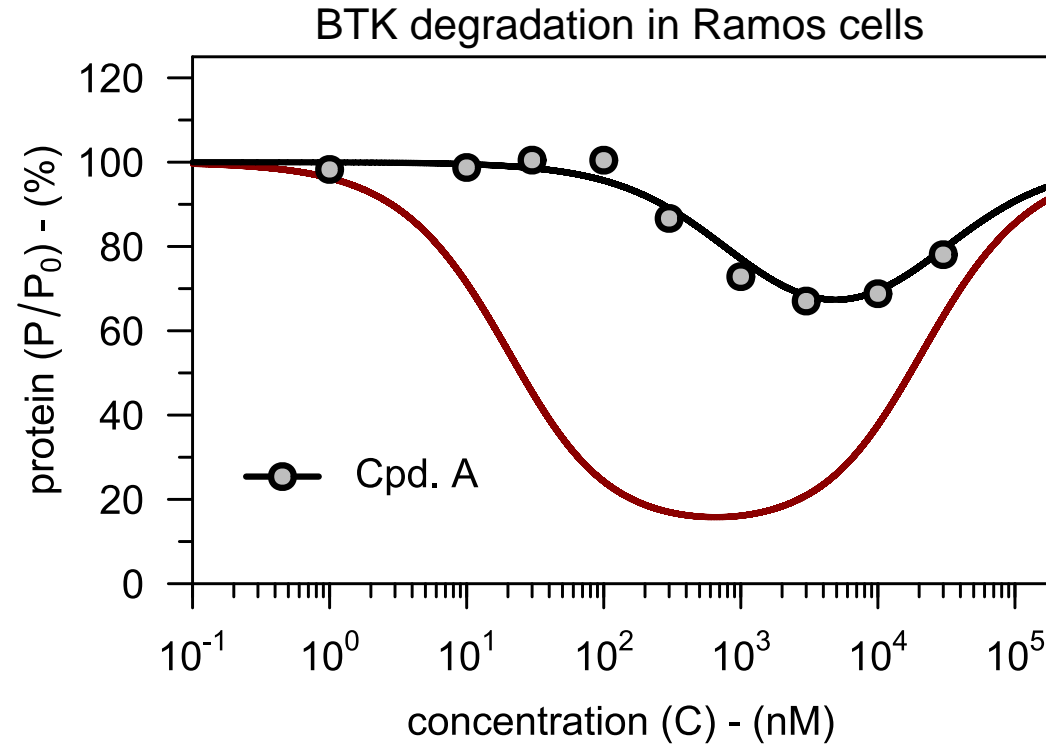
Predict target degradation from a compound's binding affinities^{3,6}



| | Cpd. A |
|----------------|--------|
| $K_{D,P}$ (nM) | 1,535 |
| $K_{D,E}$ (nM) | 15,700 |
| α (1) | 0.89 |

$K_{D,P}$... affinity for target protein (POI)
 $K_{D,E}$... affinity for E3 ligase (enzyme)
 α ... interaction of POI and E3 ligase

→ three binding partners, hence three equilibrium constants



1) the binding affinities⁷ are used to fit the observed degradation data

➤ The first step in **improving** a bad PROTAC is **identifying** its shortcomings

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

⁶ data: Bradshaw et al. (2015) Nat. Chem. Biol. DOI: [10.1038/nchembio.1817](https://doi.org/10.1038/nchembio.1817)

⁷ related poster: Kim et al. (2023) PAGE Conference Link: <https://tinyurl.com/4z45wv8r>

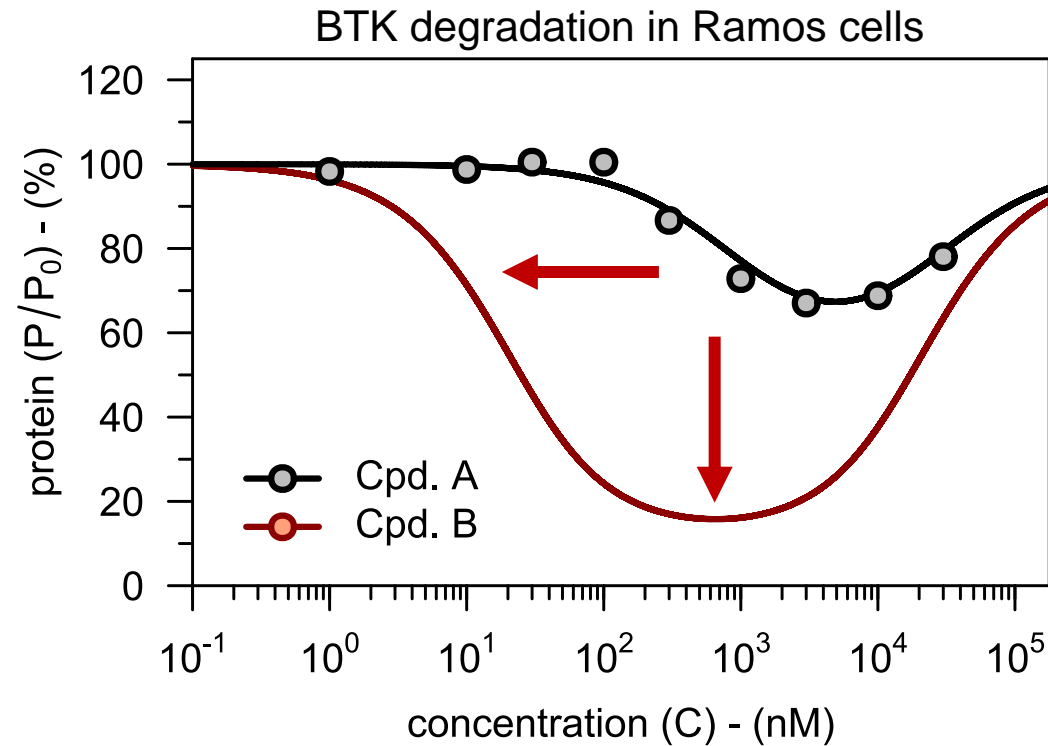


II) Model-Informed Optimization of PROTACs

Predict target degradation from a compound's binding affinities^{3,6}

| | Cpd. A | Cpd. B |
|----------------|-------------|--------|
| $K_{D,P}$ (nM) | 1,535 → ×10 | |
| $K_{D,E}$ (nM) | 15,700 → ×5 | |
| α (1) | 0.89 → ×1.5 | |

→ higher affinities needed for desired degradation



- 1) the binding affinities⁷ are used to fit the observed degradation data
- 2) the resulting model tells us, how binding affinities have to be improved

➤ Due to its **multiparametric** nature (three affinities), PROTAC optimization is often **non-intuitive**

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

⁶ data: Bradshaw et al. (2015) Nat. Chem. Biol. DOI: [10.1038/nchembio.1817](https://doi.org/10.1038/nchembio.1817)

⁷ related: Kim et al. (2023) PAGE Conference Link: <https://tinyurl.com/4z45wv8r>

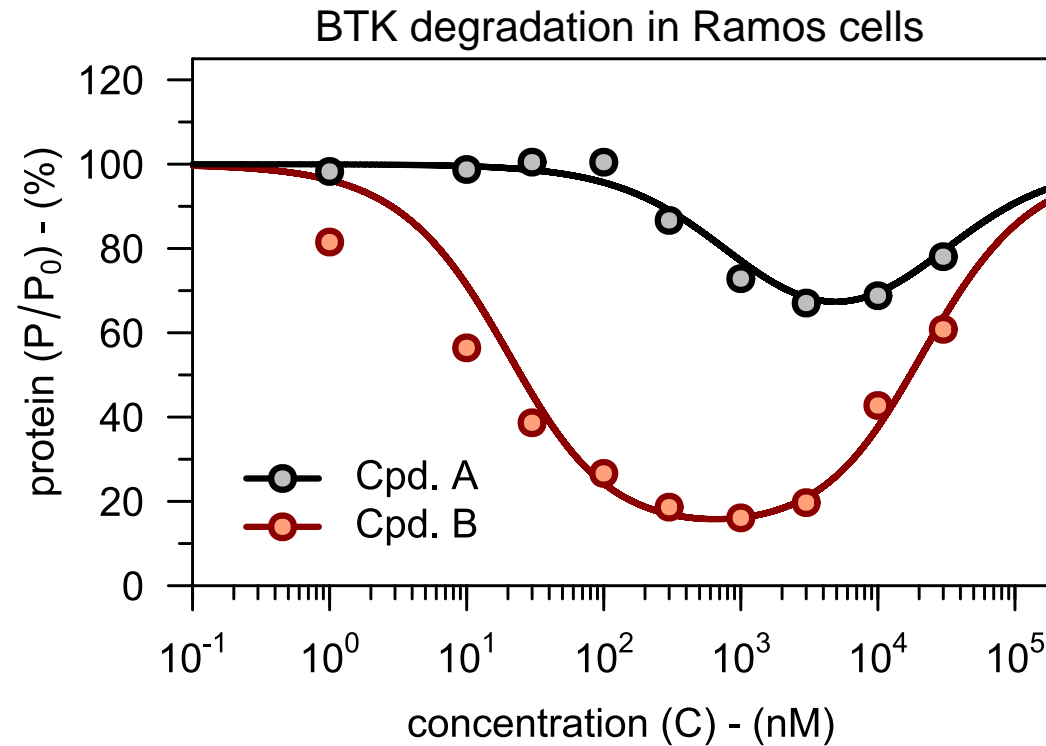
II) Model-Informed Optimization of PROTACs

Predict target degradation from a compound's binding affinities^{3,6}



| | Cpd. A | Cpd. B |
|----------------|--------|--------|
| $K_{D,P}$ (nM) | 1,535 | 138 |
| $K_{D,E}$ (nM) | 15,700 | 3,100 |
| α (1) | 0.89 | 1.34 |

→ all three binding affinities need to be considered



- 1) the binding affinities⁷ are used to fit the observed degradation data
- 2) the resulting model tells us, how binding affinities have to be improved
- 3) the prediction (Cpd. B) is validated with experimental data from a real PROTAC

➤ The k_{cat} model² guides medicinal chemistry during compound optimization

² Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

³ data: Zorba et al. (2018) *Proc. Natl. Acad. Sci. USA* DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

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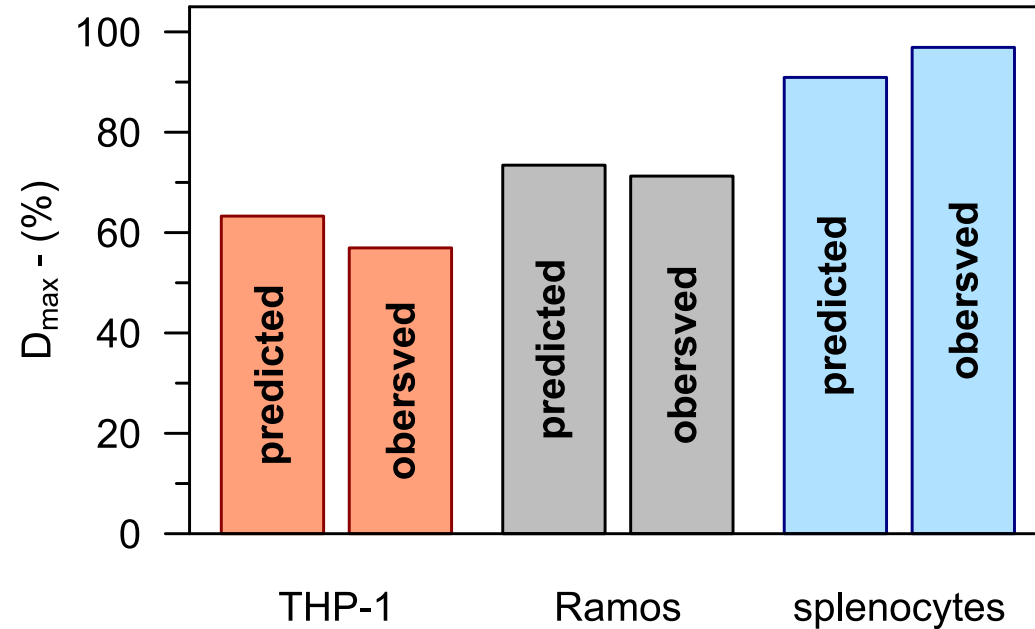
II) Model-Informed Optimization of PROTACs

Evaluate the impact of physiological parameters on target degradation^{3,5,6}



| | E_0 (nM) | $t_{1/2,P}$ (h) |
|--------|------------|-----------------|
| THP-1 | 120 | 16 |
| Ramos | 230 | 16 |
| spleen | 120 | 70 |

- higher E3 ligase levels (E_0) and longer protein half-life ($t_{1/2,P}$) lead to greater deg.
- baseline POI levels (P_0) are of minor concern here



→ multiple compounds were tested in different cell lines

➤ Degradation in new **cell types** can be predicted from **physiological** parameters

³ data: Zorba et al. (2018) Proc. Natl. Acad. Sci. USA DOI: [10.1073/pnas.1803662115](https://doi.org/10.1073/pnas.1803662115)

⁵ data: Mathieson et al. (2018) Nat. Commun. DOI: [10.1038/s41467-018-03106-1](https://doi.org/10.1038/s41467-018-03106-1)

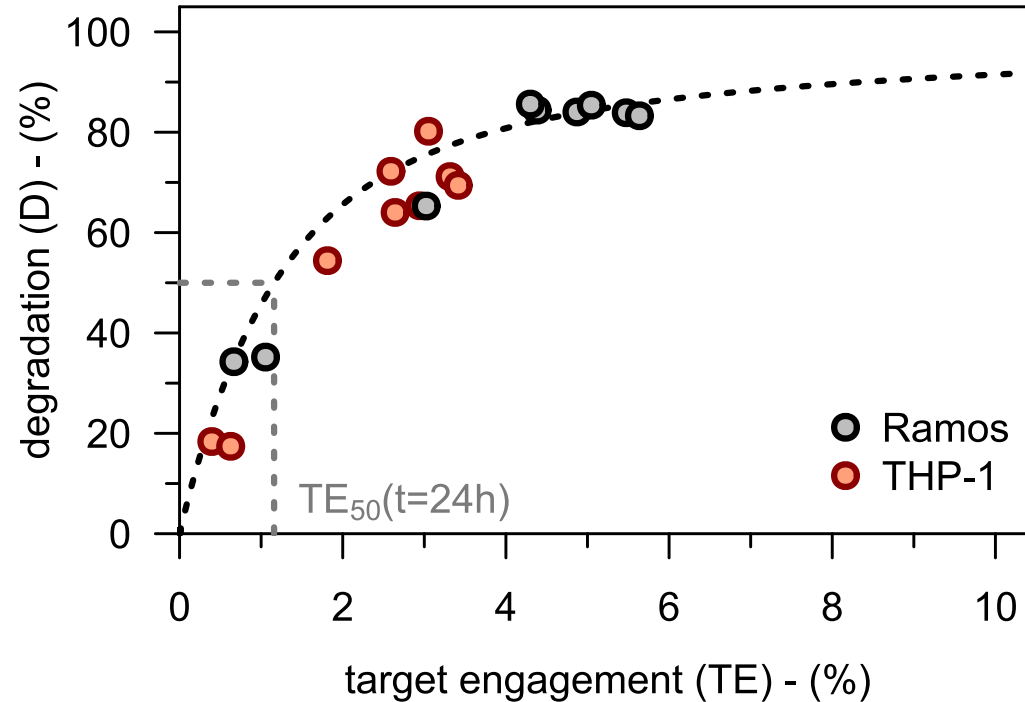
⁶ data: Bradshaw et al. (2015) Nat. Chem. Biol. DOI: [10.1038/nchembio.1817](https://doi.org/10.1038/nchembio.1817)



II) Model-Informed Optimization of PROTACs

Link target engagement (pillar II) to target degradation (pillar III)^{3,6}

- there are diminishing returns to increasing TE (hyperbolic relation)
- optimizing affinities might not always be sufficient (but here it is)
- increasing drug conc. only increases TE up to a set max. value (hook effect)



→ max. degradation is plotted vs. max. target engagement for different compounds

➤ PROTACs require **little target engagement** due to their **catalytic MOA**

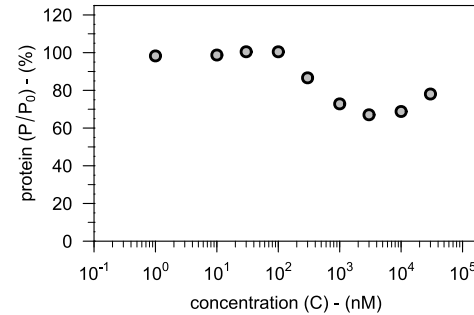
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I) Assessing PROTACs as Degraders

- How much degradation is there?

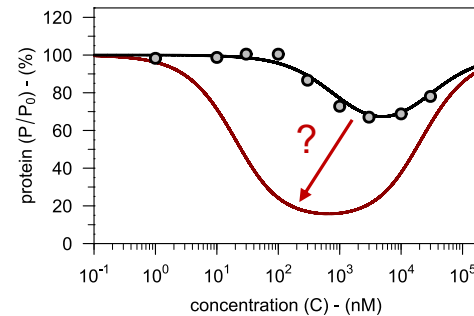


hook model

- D_{max}
- DC_{50}
- DC_{max}

II) Model-Informed Optimization of PROTACs

- How to increase degradation?

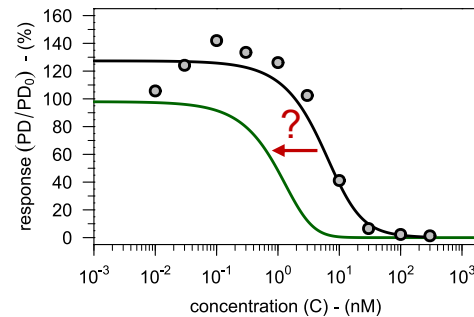


k_{cat} model

- $K_{D,P}$ ↓
- $K_{D,E}$ ↓
- α ↑

III) Deriving a Target Value for Degradation

- How much degradation is necessary?



PD model

- D_{max} ↑
- DC_{50} ↓
- DC_{max} ↑

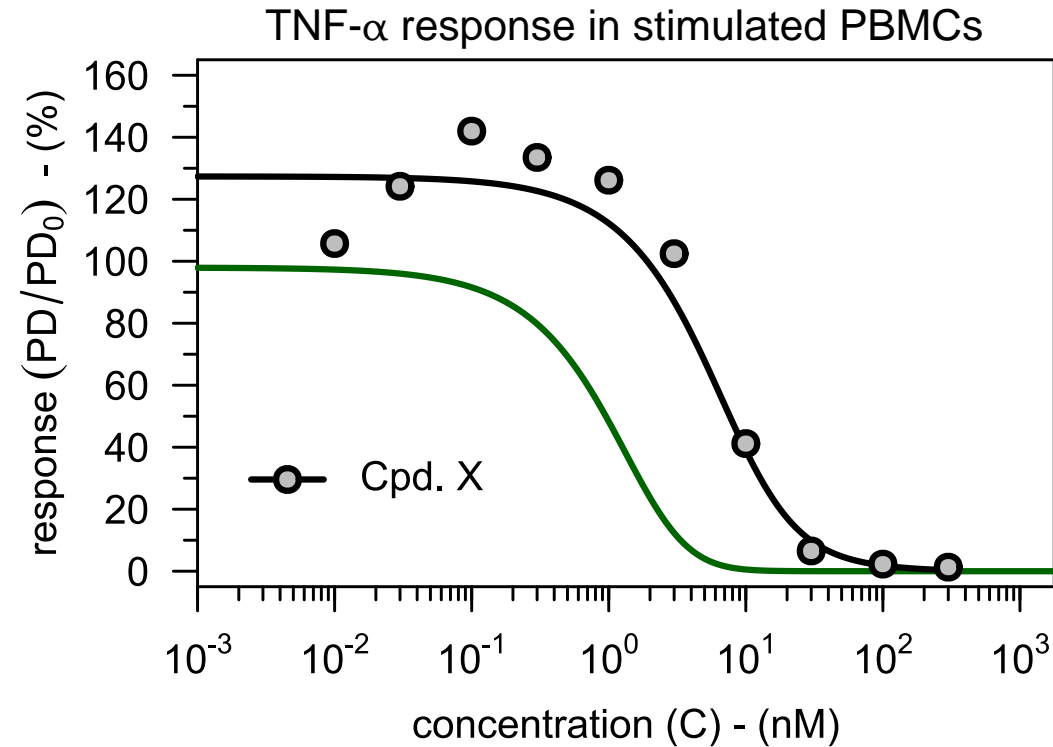


III) Deriving a Target Value for Degradation

Translate degradation to a downstream pharmacodynamic effect⁸

| | Cpd. X |
|-----------------|--------|
| D_{max} (%) | 53 |
| DC_{50} (nM) | 15 |
| DC_{max} (nM) | NA |

→ more degradation means less TNF-α response



1) the *PD model*² relates the observed PD response to protein degradation

➤ The most **relevant PD effects** are located **downstream** of protein degradation

² Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

⁸ data: Mares et al. (2020) *Commun. Biol.* DOI: [10.1038/s42003-020-0868-6](https://doi.org/10.1038/s42003-020-0868-6)

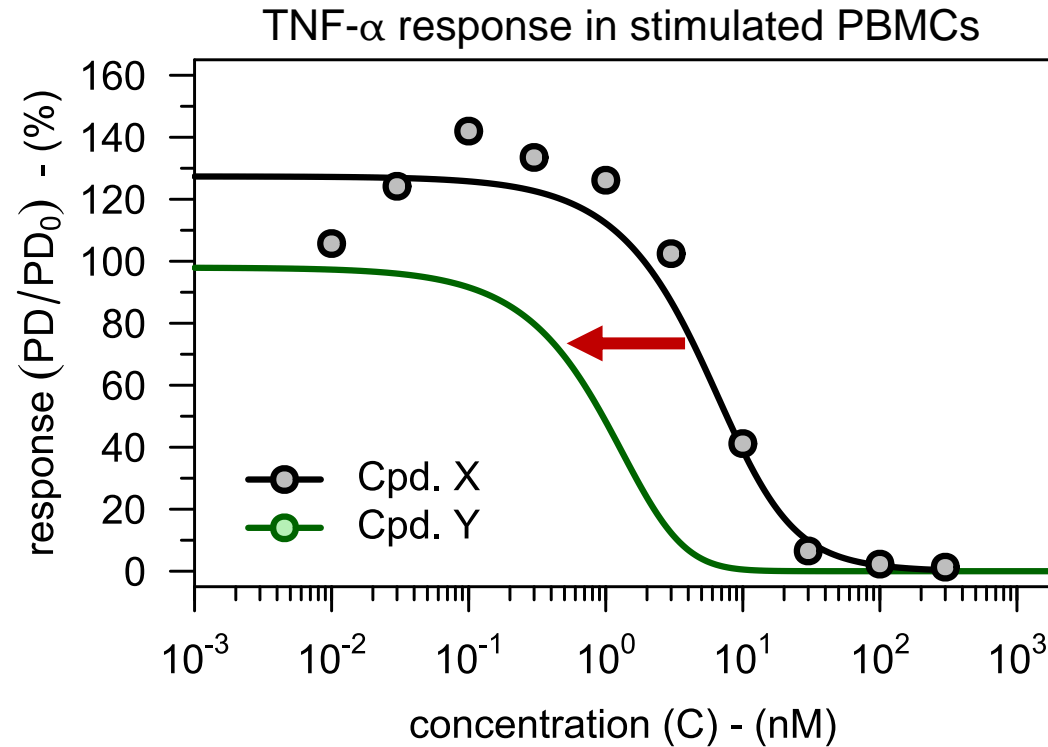


III) Deriving a Target Value for Degradation

Translate degradation to a downstream pharmacodynamic effect⁸

| | Cpd. X | Cpd. Y |
|-----------------|----------|--------|
| D_{max} (%) | 53 → +20 | |
| DC_{50} (nM) | 15 → ×3 | |
| DC_{max} (nM) | NA | NA |

→ extent of degradation for desired PD response



- 1) the *PD model*² relates the observed PD response to protein degradation
- 2) it allows to translate target values for PD response to the level of degradation

➤ The *PD model*² links those downstream effects directly to target protein degradation

². Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

⁸. data: Mares et al. (2020) *Commun. Biol.* DOI: [10.1038/s42003-020-0868-6](https://doi.org/10.1038/s42003-020-0868-6)

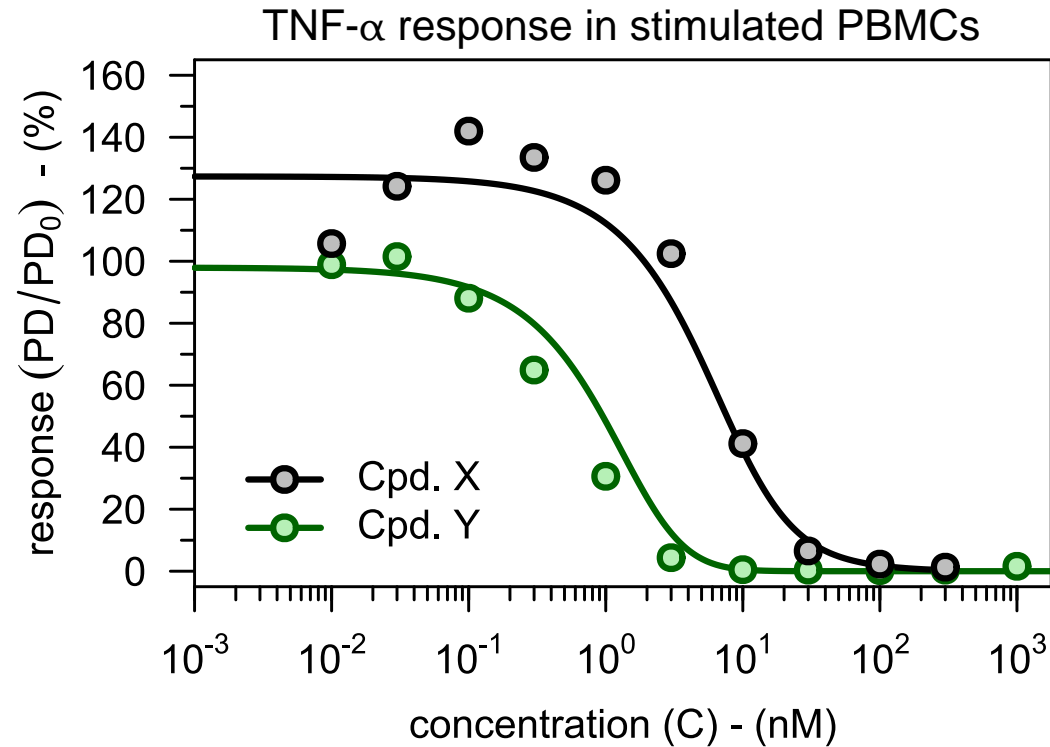


III) Deriving a Target Value for Degradation

Translate degradation to a downstream pharmacodynamic effect⁸

| | Cpd. X | Cpd. Y |
|-----------------|--------|--------|
| D_{max} (%) | 53 | 74 |
| DC_{50} (nM) | 15 | 5 |
| DC_{max} (nM) | NA | 142 |

→ for both cpds., 13% deg. gives 50% TNF- α inhib.



- 1) the *PD model*² relates the observed PD response to protein degradation
- 2) it allows to translate target values for PD response to the level of degradation
- 3) running the TNF- α assay on the optimized Cpd. Y confirms the predictions

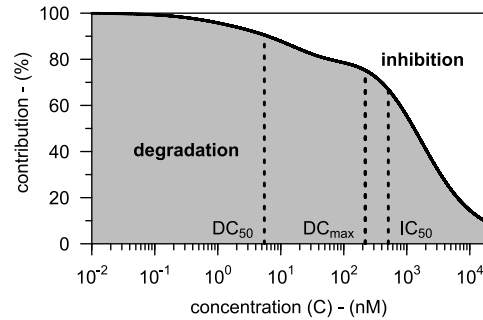
➤ Establishing such a mechanistic model **validates** the degraded **protein** as a **target**

⁸ data: Mares et al. (2020) Commun. Biol. DOI: [10.1038/s42003-020-0868-6](https://doi.org/10.1038/s42003-020-0868-6)

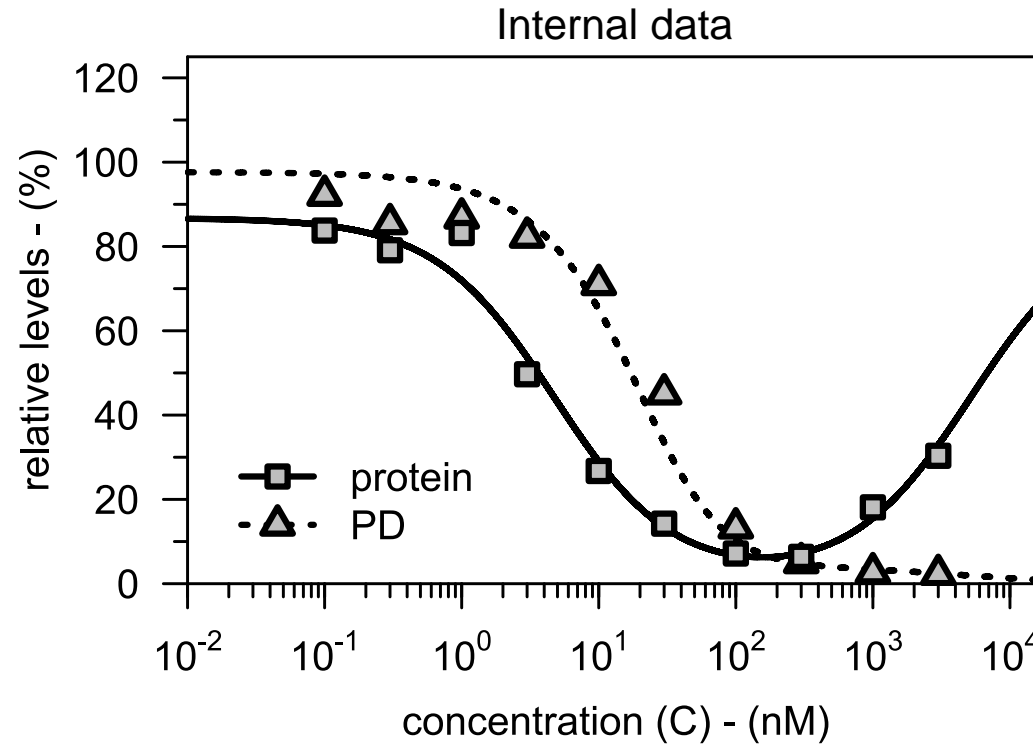


III) Deriving a Target Value for Degradation

Consider that PROTACs act both as degraders and as inhibitors



→ at high conc., effects are driven by inhibition



→ the PD model was fitted to these data and to two other profiles (not shown)

➤ Inhibition by the PROTAC **compensates** for the **hook** effect in degradation

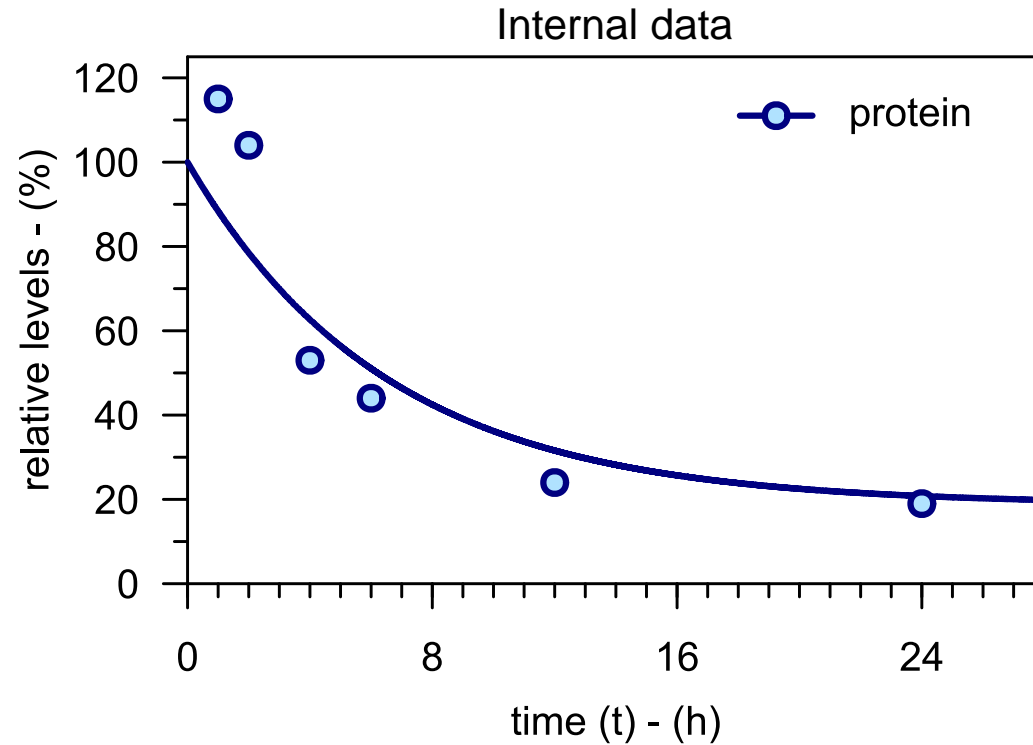


III) Deriving a Target Value for Degradation

Consider that PROTACs act both as degraders and as inhibitors⁵

| | PROTAC |
|-----------------|--------|
| D_{24h} (%) | 79 |
| $t_{1/2,P}$ (h) | 24 |

→ *A priori*, only a single time-point is known



1) the time-course of deg. is predicted from the protein's baseline half-life

➤ The **protein's** baseline **half-life** determines the **time-course** of degradation

⁵ data: Mathieson et al. (2018) Nat. Commun. DOI: [10.1038/s41467-018-03106-1](https://doi.org/10.1038/s41467-018-03106-1)

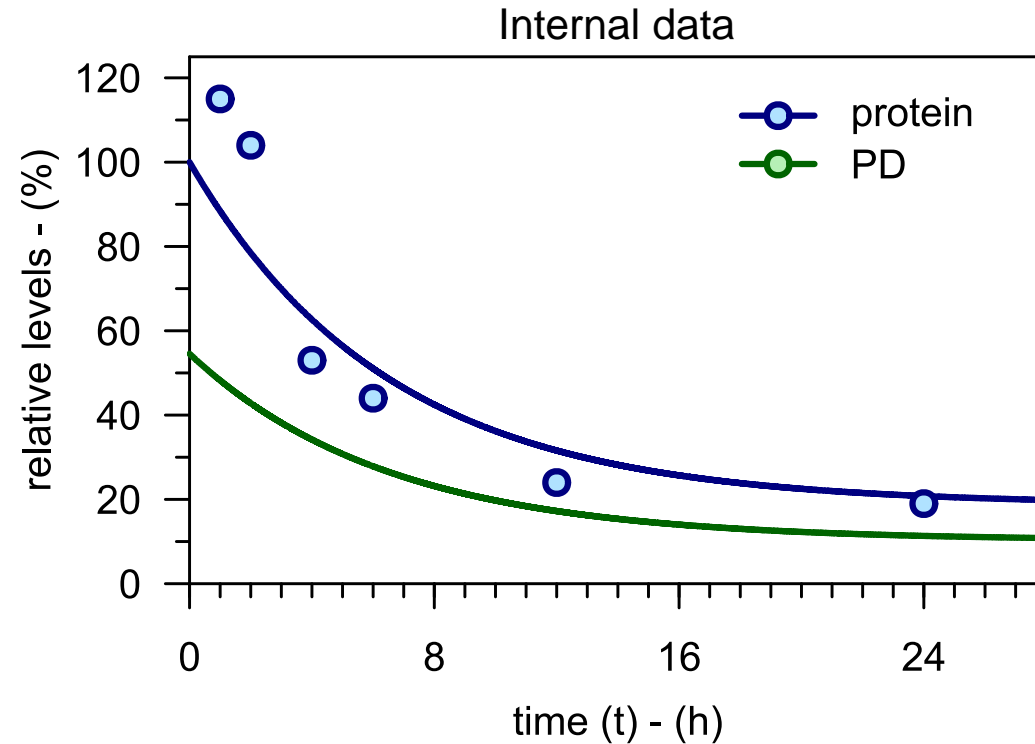


III) Deriving a Target Value for Degradation

Consider that PROTACs act both as degraders and as inhibitors⁵

| | PROTAC | control |
|-----------------|--------|---------|
| D_{24h} (%) | 79 | NA |
| $t_{1/2,P}$ (h) | 24 | NA |
| IC_{50} (nM) | --- | 120 |

→ more degradation means less PD response



- 1) the time-course of deg. is predicted from the protein's baseline half-life
- 2) a degradation-incompetent control cpd. is used to estimate inhibitory potency

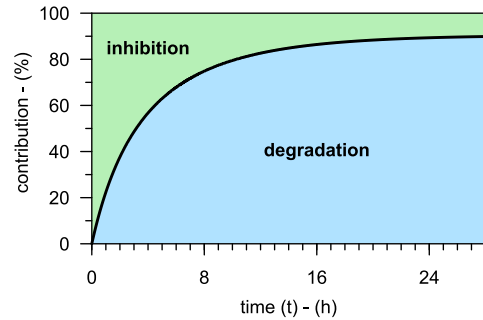
➤ Inhibition might **obscure** the **relationship** between degradation and the PD response

⁵ data: Mathieson et al. (2018) Nat. Commun. DOI: [10.1038/s41467-018-03106-1](https://doi.org/10.1038/s41467-018-03106-1)

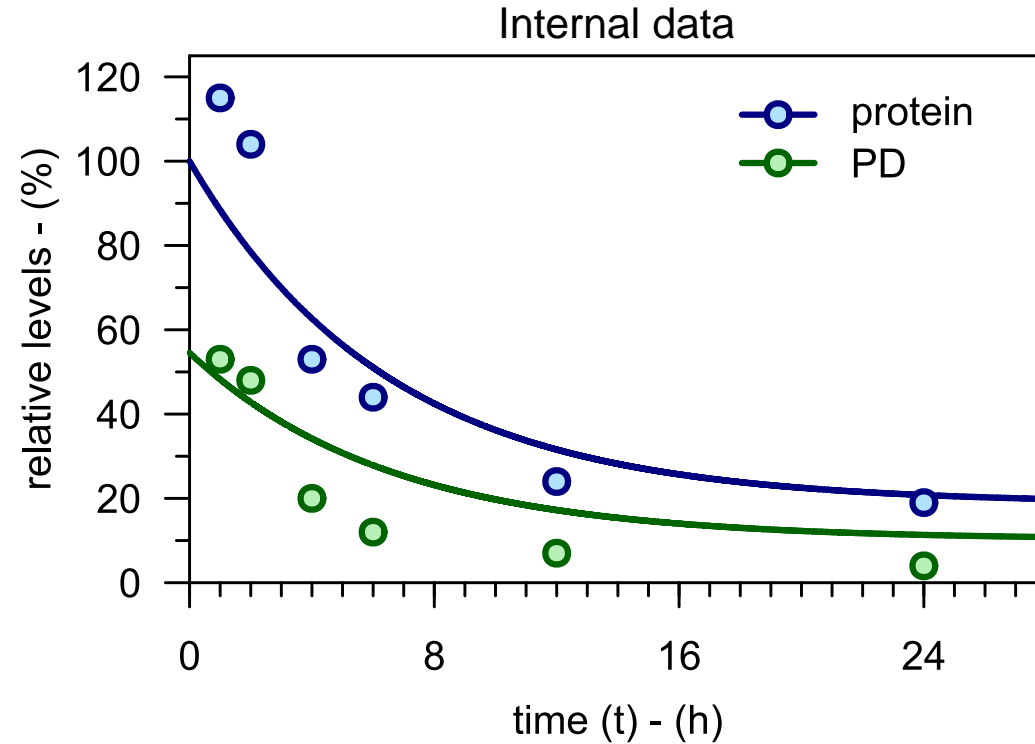


III) Deriving a Target Value for Degradation

Consider that PROTACs act both as degraders and as inhibitors⁵



→ early on, effects are driven by inhibition



- 1) the time-course of deg. is predicted from the protein's baseline half-life
- 2) a degradation-incompetent control cpd. is used to estimate inhibitory potency
- 3) the time-course of the PD response is predicted for the active PROTAC

➤ PROTACs that act as both **degraders & inhibitors** might feature a more **rapid** onset of action

⁵ data: Mathieson et al. (2018) Nat. Commun. DOI: [10.1038/s41467-018-03106-1](https://doi.org/10.1038/s41467-018-03106-1)

Take-Home Messages

Three distinct applications for pharmacodynamic modeling of *in vitro* data



I) Assessing PROTACs as Degraders

- The **hook model**² captures how degradation depends on:
 -) PROTAC concentration → hook effect
 -) incubation time → extrapolation to steady state profile

II) Model-Informed Optimization of PROTACs

- The **k_{cat} model**² predicts degradation from biochemical parameters
 -) to optimize a compound, increase its three binding affinities
 -) consider expression levels of E3 ligase and protein half-life when translating *in vitro* data

III) Deriving a Target Value for Degradation

- The **PD model**² translates degradation to a downstream effect
 -) define a target value for the PD effect and translate that to a target value for degradation
 -) inhibitory activity of PROTACs allows for rapid onset of action & compensates for hook effect

² Haid & Reichel (2023) *Pharmaceutics* DOI: [10.3390/pharmaceutics15010195](https://doi.org/10.3390/pharmaceutics15010195)

To be Continued ...

Going in vivo, implications for drug discovery & real-world case studies

1) Translation from biochemical to cellular level

- How to increase degradation potency?

2) Translation from cellular level to animal model

- Which compounds to take *in vivo*?

3) Translation from animal model to human

- What is the relevant dose in humans?



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Discussion

What do you think about all of this?

